



PATENT APPLICATIÓN

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q79080

Masayuki FURUMIYA, et al.

Allowed: March 28, 2006

Appln. No.: 10/753,325

Group Art Unit: 2811

Confirmation No.: 7256

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Filed: January 9, 2004

Examiner: Steven Ho Yin LOKE

For: SEMI

SEMICONDUCTOR DEVICE WITH WIRING LAYERS FORMING A CAPACITOR

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

- 1. Japanese Patent Application Publication No. 2003-530699, published October 14, 2003 (with English abstract).
- 2. Japanese Patent Application Publication No. 2002-124575, published April 26, 2002 (with English abstract).
- 3. Japanese Patent Application Publication No. 2002-324843, published November 8, 2002 (with English abstract).
- 4. Japanese Patent Application Publication No. 2002-353328, published December 6, 2002 (with English abstract).
- 5. Japanese Patent Application Publication No. 2001-358295, published December 26, 2001 (with English abstract).

One copy of each of the listed documents is submitted herewith.

06/15/2006 HALI11 00000158 10753325

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180.00 OP

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/753,325

Atty. Docket No.: Q79080

The present Information Disclosure Statement is being filed after either a Final Office

Action, Notice of Allowance, or an action that otherwise closes prosecution in the application

(whichever is earlier), but before payment of the Issue Fee, and therefore Applicant is submitting

herewith a check for the fee of \$180.00 under 37 C.F.R. § 1.17(p), and a Statement Under

37 C.F.R. § 1.97(e).

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a corresponding Japanese

Office Action, dated April 25, 2006, and an English translation of the pertinent portions thereof

which cites above listed references 1-5 and indicates the degree for relevance found by the

foreign office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Howard L. Bernstein

Registration No. 25,665

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE

CUSTOMER NUMBER

Date: June 14, 2006

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PTO/SB/08a (07-05)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO					Complete if Known			
	11156	SDAATION.	D104	01 00UDE	Application Number	10/753,325		
		PRMATION			Filing Date	01-09-04		
	STA	TEMENT B'	Y AF	PPLICANT	First Named Inventor	Masayuki FURUMIYA		
					Art Unit			
		(Use as many shee	ets as n	ecessary)	Examiner Name	·		
	Sheet	1	of	1	Attorney Docket Number	Q79080		
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U.S. PATENT DOCUMENTS						
Examiner	Cite No. 1	Document Number		1		Pages, columns, Lines,
initial *		Number	Kind Code ² (if known)	Publication Date MM-DD-YY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear
		 				
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FOREIGN PATENT DOCUMENTS							
Examiner	Cite No. 1	Foreign Patent Document		Publication Date) MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Initial *		Country ³ Number ⁴ Kind Code (if known					т6
		JP	2001-358295A	12-26-01	Matsushita Electric Ind Co Ltd		
		JP	2002-124575A	04-26-02	Texas Instr Inc		
		JP	2002-324843A	11-08-02	Hitachi Ltd		
		JP	2002-353328A	12-06-02	Mitsubishi Electric Corp		
		JP	2003-530699A	10-14-03	Koninklijke Philips Electronic		

Examiner	Date	
Signature	Considered	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office,

P.O. Box 1450 Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents. P.O. Roy 1450 Alexandria, VA 22313-1450.

^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not Considered. Include of this form with next communication to applicant.

^{1.} Applicant's unique citation designation number (optional) 2. See Kinds codes of USPTO patent Docur<u>www.uspto.gc</u>or MPEP 901.04. 3. Enter Office that isssued the document, by the two-letter code (WPO Standard ST.3.) 4. For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5. Kind of document by the appropriate symbols as indicated on the document under WPO Standard ST. 16 if possible. 6. Applicant is to place a check mark here if English language Translation is attached.



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STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

Registration No. 25,665

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

 $\begin{array}{c} \text{Washington office} \\ 23373 \\ \text{customer number} \end{array}$

Date: June 14, 2006